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## Elucidating the Location of Cd<sup>2+</sup> in Post-synthetically Treated InP Quantum Dots Using Dynamic Nuclear Polarization <sup>31</sup>P and <sup>113</sup>Cd Solid-State NMR Spectroscopy

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## Abstract

Indium phosphide quantum dots (InP QD) are a promising alternative to traditional QD materials that contain toxic heavy elements such as lead and cadmium. However, InP QD obtained from colloidal synthesis are often plagued by poor photoluminescence quantum yields (PL-QYs). In order to improve the PL-QY of InP QD, a number of post-synthetic treatments have been devised. Recently, it has been shown that InP post-synthetically treated with Lewis acid metal divalent cations (M-InP) exhibit enhanced PL-QY; however, the molecular structure and mechanism behind the improved PL-QY are not fully understood. To determine the surface structure of M-InP QD, dynamic nuclear polarization surface-enhanced nuclear magnetic resonance spectroscopy (DNP SENS) experiments were employed on a series of InP magic size clusters treated with Cd ions, InP QD, cadmium phosphide (Cd<sub>3</sub>P<sub>2</sub>) QD, and Cd-treated InP QD (Cd-InP QD). With the use of DNP SENS, we were able to obtain the 1D <sup>31</sup>P and <sup>113</sup>Cd NMR spectra, <sup>113</sup>Cd{<sup>31</sup>P} rotational-echo double-resonance (REDOR) NMR spectra, and <sup>31</sup>P{<sup>113</sup>Cd} dipolar heteronuclear multiple quantum correlation (D-HMQC) sequence. Changes in the phosphide <sup>31</sup>P chemical shifts after Cd treatment provide indirect evidence that some Cd alloys into the sub-surface regions of the particle. DNP-enhanced <sup>113</sup>Cd solid-state NMR spectra suggest that most Cd ions are coordinated by oxygen atoms from either carboxylate ligands or surface phosphate groups. <sup>113</sup>Cd{<sup>31</sup>P} REDOR and <sup>31</sup>P{<sup>113</sup>Cd} D-HMQC experiments confirm that a subset of Cd ions are located on the surface of Cd-InP QD and coordinated with phosphate groups.

## Keywords

Nanoparticles, structure determination, solid-state nuclear magnetic resonance, materials chemistry, surface chemistry, DNP

## Disciplines

Materials Chemistry | Nanoscience and Nanotechnology | Physical Chemistry

## Comments

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# Elucidating the Location of $\text{Cd}^{2+}$ in Post-Synthetically Treated InP Quantum Dots using Dynamic Nuclear Polarization $^{31}\text{P}$ and $^{113}\text{Cd}$ Solid-State NMR Spectroscopy

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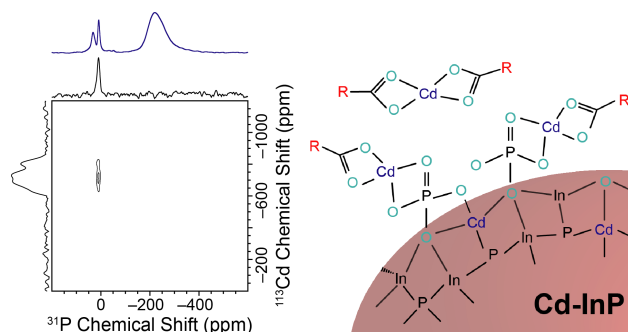
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## Abstract

Indium phosphide quantum dots (InP QD) are a promising alternative to traditional QD materials that contain toxic heavy elements such as lead and cadmium. However, InP QD obtained from colloidal synthesis are often plagued by poor photoluminescent quantum yields (PL-QY). In order to improve the PL-QY of InP QD a number of post-synthetic treatments have been devised. Recently it has been shown that InP post-synthetically treated with Lewis acidic metal divalent cations (M-InP) exhibit enhanced PL-QY, however, the molecular structure and mechanism behind the improved PL-QY are not fully understood. To determine the surface structure of M-InP QD, dynamic nuclear polarization surface enhanced NMR spectroscopy (DNP SENS) experiments were employed on a series of InP magic size clusters (MSC) treated with Cd ions, InP QD, cadmium phosphide ( $\text{Cd}_3\text{P}_2$ ) QD and Cd-InP QD. With the use of DNP SENS we were able to obtain 1D  $^{31}\text{P}$  and  $^{113}\text{Cd}$  NMR spectra,  $^{113}\text{Cd}\{^{31}\text{P}\}$  REDOR, and  $^{31}\text{P}\{^{113}\text{Cd}\}$  D-HMQC. Changes in the phosphide  $^{31}\text{P}$  chemical shifts after Cd-treatment provide indirect evidence that some Cd alloys into the sub-surface regions of the particle. DNP-enhanced  $^{113}\text{Cd}$  solid-state NMR spectra suggest that most Cd ions are coordinated by oxygen atoms from either carboxylate ligands or surface phosphate groups.  $^{113}\text{Cd}\{^{31}\text{P}\}$  REDOR and  $^{31}\text{P}\{^{113}\text{Cd}\}$  D-HMQC experiments confirm that a subset of the Cd ions are located at the surface of Cd-InP QD and coordinated to phosphate groups.

## TOC Graphic



**Keywords**

Nanoparticles, structure determination, solid-state nuclear magnetic resonance, materials chemistry, surface chemistry, DNP

**Introduction**

Chalcogen-based semiconductor quantum dots (QD) have many potential technological applications.<sup>1</sup> However, many of the most promising QD materials contain toxic heavy metals (e.g., PbSe, PbS, CdS, CdSe, CdTe, CsPbBr<sub>3</sub>, etc.). To limit the environmental effect of these materials alternative QD free of heavy metals are needed.<sup>2</sup> Indium phosphide (InP) QD are a promising alternative to traditional QD, however InP QD are often hindered by broad particle size distributions,<sup>3-4</sup> causing emission and absorption at a broad range of wavelengths, and poor photoluminescence quantum yields (PL-QY).<sup>5</sup> To achieve a monodispersed particle size of InP QD, researchers have developed alternative synthetic routes that use InP magic size clusters (MSC) or molecular compounds as precursors to prepare InP QD with uniform particle sizes.<sup>6-7</sup> The PL-QY of InP QD has been improved by making core-shell InP-ZnS QD.<sup>8-9</sup> Alternatively, Stein and co-workers demonstrated that post-synthetic treatment of InP QD with Lewis acidic divalent metal cations (Zn<sup>2+</sup> or Cd<sup>2+</sup>) can increase the PL-QY to 50%.<sup>10</sup> Cd Cadmium extended X-ray absorption fine structure (EXAFS) demonstrated Cd-O and Cd-P bonding in the cadmium treated InP QD (denoted Cd-InP).<sup>10</sup> Treatment of Cd-InP with a Lewis base demonstrated that removal of Cd ions resulted in a loss of PL-QY.<sup>10</sup> Therefore, this study concluded that divalent metal cations can replace In<sup>3+</sup> at the surface of the InP QD and/or bind to under-coordinated surface P atoms, eliminating localized QD LUMO states and resulting in improved PL-QY.<sup>10</sup> However, the exact location of the M<sup>2+</sup> cations and the molecular mechanism underlying the improvements in PL-QY remains unknown. From an environmental standpoint, zinc treated InP QD would be preferred due to the negligible toxicity of zinc, however, cadmium treated InP QD showed larger gains in PL-

QY.<sup>10</sup> Cd-treated InP QD are investigated here since they are amenable to study by <sup>113</sup>Cd solid-state NMR spectroscopy.

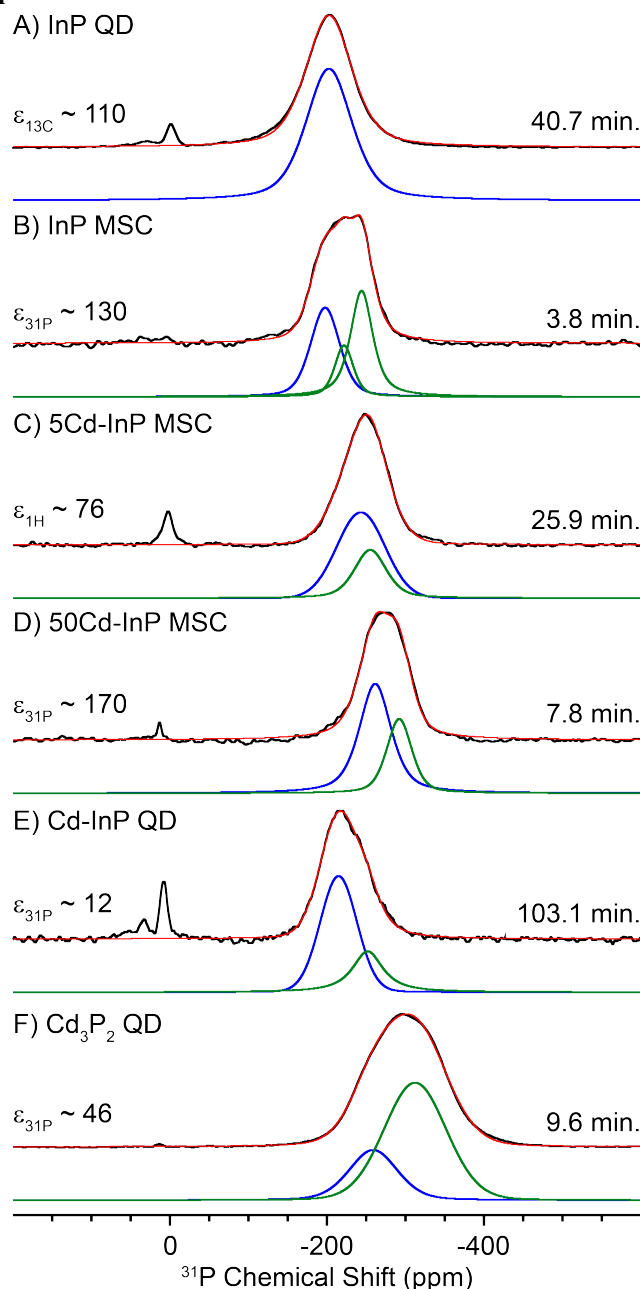
Solution and solid-state <sup>31</sup>P nuclear magnetic resonance spectroscopy (NMR) have been previously employed to obtain valuable structural information about the bulk and surface structure of InP QD and InP MSC.<sup>11-15</sup> In particular, solution <sup>31</sup>P NMR has shown that Cd<sup>2+</sup> cations can be readily substituted into the In positions of InP MSC.<sup>14</sup> While solution <sup>31</sup>P NMR works well for probing the phosphorous local environment in MSC and small nanoparticles, larger particles with diameters above several nm possess slow correlation times that are longer than the inverse of the Larmor frequency, resulting in broad NMR signals because of efficient homogeneous transverse relaxation ( $T_2'$ ). Therefore, larger QD are often more easily studied by solid-state NMR spectroscopy. Unfortunately, previous <sup>31</sup>P NMR experiments on InP QD required large sample volumes and/or long experiment times. For example, Pines and co-workers reported <sup>1</sup>H→<sup>31</sup>P cross-polarization magic angle spinning (CPMAS) experimental times of 10-15 hours for InP QD using a 4 mm outer diameter rotor,<sup>11</sup> which typically requires at least 100 mg of sample. Previously, we obtained quantitative <sup>31</sup>P direct excitation solid-state NMR spectra of various InP QD with 50 kHz MAS and 1.3 mm rotors (ca. 4 mg of sample). However, between 22 to 124 hours of signal averaging was required to obtain 1D <sup>31</sup>P solid-state NMR spectra.<sup>16</sup>

Recently, dynamic nuclear polarization (DNP)<sup>17-18</sup> has been used to obtain order of magnitude enhancements in NMR sensitivity for a variety of materials, including QD. In a DNP experiment the larger polarization of unpaired electron spins are transferred to nuclear spins, typically at cryogenic temperatures. The unpaired electron spins are introduced via the addition of an exogeneous radical polarizing agent (PA). To induce DNP the sample is then irradiated with high power microwaves at a specific electron paramagnetic resonance (EPR) frequency. The

sensitivity of solid-state NMR experiments on QD and other nanomaterials can be improved by using DNP surface enhanced NMR spectroscopy (SENS).<sup>19-29</sup> To prepare QD samples for a DNP SENS experiment a colloidal solution of QD is dispersed on a support material, either mesoporous silica<sup>24</sup> or hexagonal boron nitride (*h*-BN)<sup>27</sup> and then a PA solution is added. Alternatively, to further increase NMR sensitivity the QD can be precipitated from solution, physically mixed with *h*-BN, then impregnated with a minimal volume of PA solution.<sup>27</sup>

To elucidate the location of Cd<sup>2+</sup> in the Cd-InP QD we employed DNP SENS to obtain <sup>31</sup>P and <sup>113</sup>Cd solid-state NMR spectra of InP MSC treated with various amounts of Cd, 3 nm diameter InP QD with and without Cd treatment and Cd<sub>3</sub>P<sub>2</sub> QD. These samples were prepared for DNP using a recently modified sample preparation procedure where the QD and MSC are dispersed on or physically mixed with *h*-BN.<sup>27</sup> 1D <sup>31</sup>P and <sup>113</sup>Cd solid-state NMR spectra and <sup>31</sup>P-<sup>113</sup>Cd REDOR and <sup>31</sup>P{<sup>113</sup>Cd} dipolar heteronuclear multiple quantum correlations (D-HMQC) experiments were employed to identify the location of Cd atoms in the post synthetically treated Cd-InP QD.

## Results and Discussion



**Figure 1.** DNP-enhanced  $^1\text{H} \rightarrow ^{31}\text{P}$  CPMAS spin-echo solid-state NMR spectra of (A) InP QD, (B) InP MSC, (C) 5Cd-InP MSC, (D) 50Cd-InP MSC, (E) Cd-InP QD and (F)  $\text{Cd}_3\text{P}_2$  QD. The red spectra are the sum of the peak fits, the blue spectra are  $^{31}\text{P}$  signal associated with the core/subsurface and the green spectra are  $^{31}\text{P}$  signals associated with the surface or Cd doped into the subsurface. Total experiment times are indicated next to each spectrum. Spectra A-D were obtained by impregnating h-BN with the MSC or QD TCE solution and a TEKPol TCE solution. Spectra E and F were obtained by mixing precipitated QD and h-BN, followed by an impregnation with a TEKPol TCE solution. Sample DNP enhancements ( $\epsilon$ ) measured by  $^{31}\text{P}$  or  $^{13}\text{C}$  CPMAS or  $^1\text{H}$  direct excitation are noted on the left of each  $^{31}\text{P}$  CPMAS spectrum and the total experiment time is indicated on the right.



We began our investigation by acquiring DNP-enhanced  $^{31}\text{P}$  CPMAS solid-state NMR of InP QD and InP MSC with and without post-synthetic treatment with cadmium oleate ( $\text{Cd}(\text{OA})_2$ ) (Figure 1). Cd treatment was performed by stirring InP MSCs with cadmium oleate in toluene for 20 to 72 h or by heating a 1-octadecene solution of as-synthesized InP QDs with cadmium oleate for 2 hours at 200 °C. Samples treated with  $\text{Cd}(\text{OA})_2$  are denoted Cd-InP QD and Cd-InP MSC, and the number in front of the sample name indicates the equivalents of Cd to In used during the treatment. Following Cd treatment, the samples were purified by repeated precipitation, washing, and gel permeation chromatography (see Experimental section). Importantly, the number of equivalents of Cd used in the treatment does not directly translate to the concentration in the final samples, especially at high equivalents ( $>20$ ). The final Cd:In ratios were estimated to be 0.35:1 and 2:1 in the 5Cd-InP MSC and 50Cd-InP MSC, respectively, as measured by inductively coupled plasma optical emission spectroscopy (ICP OES). For Cd-InP QD the final Cd:In ratio was determined to be 0.8:1.

Two different groups of NMR signals are observed in the DNP-enhanced  $^{31}\text{P}$  CPMAS solid-state NMR spectra of the InP QD and InP MSC. The first set of  $^{31}\text{P}$  NMR signals resonates between 0 to 50 ppm. These chemical shifts correspond to phosphate species that are located at the surface of the QD. The phosphates originate from partial oxidation of the QD or MSC.<sup>12, 16</sup> Partial oxidation is primarily observed in QD and MSC that have been post-synthetically treated with  $\text{Cd}(\text{OA})_2$ . This observation is consistent with prior studies of InP surface oxidation by  $^{31}\text{P}$  solid-state NMR and X-ray fluorescence spectroscopy, which established that carboxylate ligands present during QD synthesis and post-synthetic treatment can cause surface oxidation.<sup>16</sup> Comparison of the  $^{31}\text{P}$  CPMAS NMR spectra of Cd-InP QD handled under air-free and ambient conditions show similar relative quantities of the phosphate signal and phosphide NMR signals,

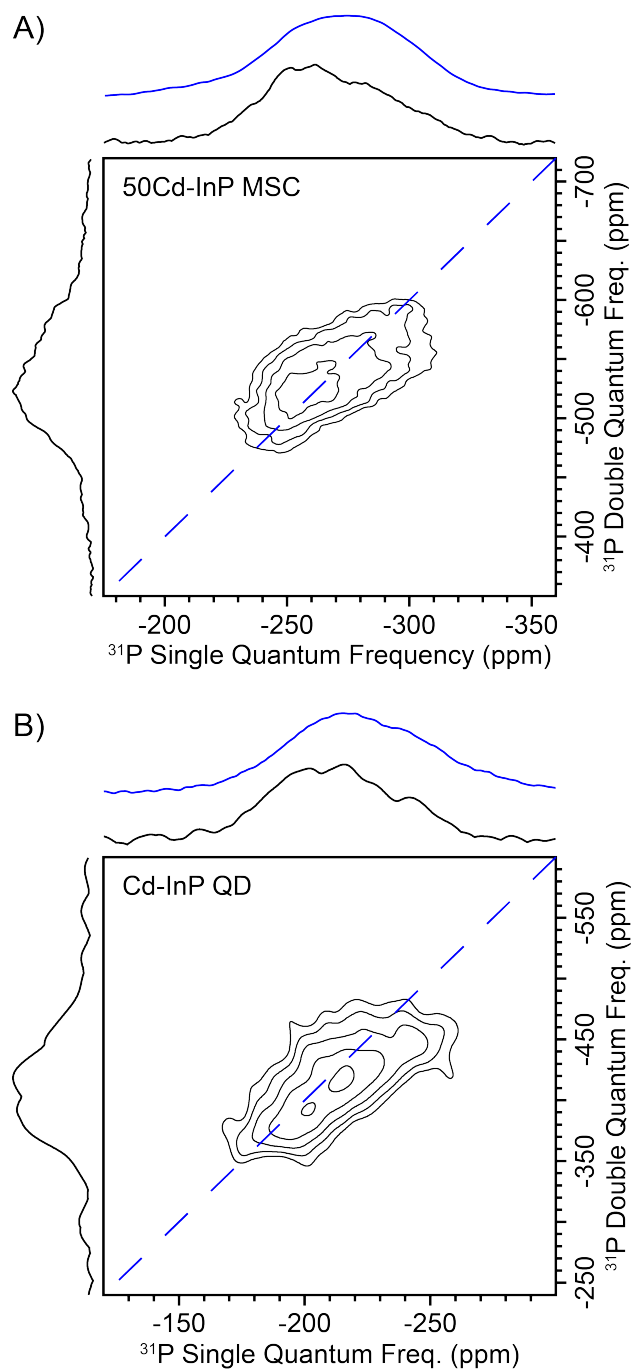
confirming that the oxidation primarily occurs during the post-synthetic Cd treatment, rather than during preparation of samples for DNP NMR experiments (Figure S1).

The second set of  $^{31}\text{P}$  NMR signals are the very broad  $^{31}\text{P}$  peaks with isotropic chemical shifts ranging from  $-170$  to  $-350$  ppm that are attributed to surface and core phosphides, consistent with prior studies of phosphide QDs.<sup>11-13, 16</sup> The inhomogeneous broadening of the NMR signals arises because surface, sub-surface and core phosphides will all have slightly different chemical shifts.<sup>12, 30</sup> To confirm which part of the broad phosphide NMR signal is attributed to the core of the QD, a  $^{31}\text{P}$  spin diffusion experiment<sup>27, 31</sup> was performed on InP QD, 50Cd-InP MSC and Cd-InP QD (Figure S2-S4). The  $^{31}\text{P}$  spin diffusion experiment revealed that isotropic  $^{31}\text{P}$  chemical shifts of the core phosphides are centered at approximately  $-200$  ppm in both InP QD and Cd-InP QD. The  $^{31}\text{P}$  chemical shift of microcrystalline InP has previously been reported to be  $-145$  ppm.<sup>11, 32</sup> The difference in the  $^{31}\text{P}$  chemical shift of microcrystalline InP and the InP QD likely arises because quantum confinement effects cause smaller particles to have a larger bandgap, resulting in increased nuclear shielding and a more negative chemical shift.<sup>11, 30, 33-35</sup> Similar relationships between particle size and chemical shift have been observed in many different QD systems.<sup>11, 30, 33-35</sup>

The phosphide region of the Cd-InP QD and MSC clusters were fit to two Gaussian/Lorentzian peaks that correspond to NMR signals associated with the core/sub-surface and surface regions of the QD (with the exception of InP MSC which required a 3 peak fit, Figure 1 and Table S1). The  $^{31}\text{P}$  chemical shift of the surface and sub-surface phosphides of the MSC shifts to more negative values as more equivalents of  $\text{Cd}(\text{OA})_2$  are used during treatment. A similar observation is made when comparing the phosphide  $^{31}\text{P}$  NMR signal of InP QD and  $\text{Cd}_3\text{P}_2$  QD, where the  $^{31}\text{P}$  NMR signals of  $\text{Cd}_3\text{P}_2$  resonate at a 90 ppm more negative  $^{31}\text{P}$  chemical shift (Figure

S5). Therefore, these comparisons suggest that phosphides bonded to cadmium will resonate at a lower chemical shift than phosphides bonded to indium.

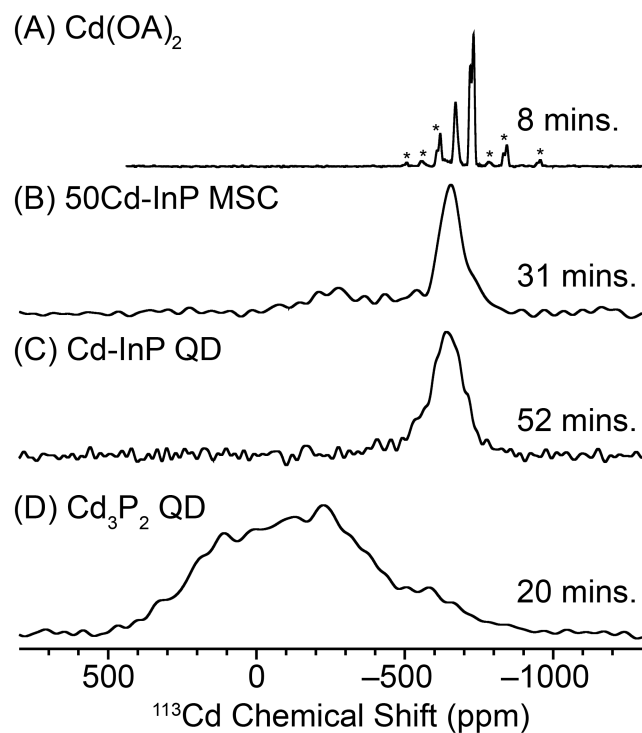
Comparison of the  $^{31}\text{P}$  NMR spectra of InP QD and Cd-InP QD shows that the primary phosphide signal resonates at a ca.15 ppm more negative shift (Figure S5). There is also an additional  $^{31}\text{P}$  NMR signal centered at  $-250$  ppm in the spectrum of Cd-InP (Figure 1). The  $^{31}\text{P}$  spin diffusion experiments on Cd-InP QD show that the more negative  $^{31}\text{P}$  isotropic chemical shifts between  $-200$  and  $-275$  ppm are only observed at short spin diffusion times, confirming that the signals in this region are associated with surface and sub-surface phosphides (Figure S4). TEM images of InP and Cd-InP QD revealed both samples have similar average particle diameters of  $3.1 \text{ nm} \pm 0.3 \text{ nm}$  and  $2.7 \text{ nm} \pm 0.3 \text{ nm}$ , respectively, consistent with prior studies which observed similar particle diameters before and after Cd-treatment.<sup>10</sup> Therefore, the more negative shift of the  $^{31}\text{P}$  NMR signals in Cd-InP QD cannot be explained by changes in the diameter of the particles, rather, they must be due to alloying of some Cd into the surface or sub-surface regions of the InP QD. However, as discussed below, it is not possible to directly detect  $^{113}\text{Cd}$  NMR signals associated with phosphide coordinated Cd atoms in Cd-InP QD.



**Figure 2.** DNP-enhanced  $^{31}\text{P}$  dipolar double quantum single quantum (DQ-SQ) 2D correlations of (A) 50Cd-InP MSC and (B) Cd-InP QD. The diagonal line indicates auto-correlations ( $\delta_{\text{SQ}} = 2 \times \delta_{\text{DQ}}$ ). The  $^{31}\text{P}$  CPMAS spectrum (blue trace) is overlaid on the projection of the SQ dimension. Total experiment times were 5.7 hours and 8 hours for 50Cd-InP MSC and Cd-InP QD, respectively.

The spatial proximity of the different  $^{31}\text{P}$  species in the MSC and QD were determined with the use of a dipolar  $^{31}\text{P}$  double quantum single quantum (DQ-SQ) homonuclear correlation NMR experiments. The  $^{31}\text{P}$  DQ-SQ NMR spectra were recorded using the POST-C7 recoupling scheme<sup>36</sup> (Figure 2 and Figure S6). In the dipolar  $^{31}\text{P}$  DQ-SQ correlation spectra, only  $^{31}\text{P}$  NMR signals from spins that are dipolar coupled to other  $^{31}\text{P}$  spins (within ca. 3-4 Å of one another) will be observed. All samples give rise to DQ-filtered  $^{31}\text{P}$  NMR signals because all of the phosphorus atoms in the QD or MSC will be proximate to other  $^{31}\text{P}$  spins.

The 2D  $^{31}\text{P}$  DQ-SQ NMR spectra show an interesting pattern. For all the samples, the 2D NMR signals primarily lie on, or near to the diagonal line formed when the SQ chemical shift is twice the DQ chemical shift. The NMR signals along this line are all auto-correlations that arise when the isotropic chemical shifts of the coupled  $^{31}\text{P}$  spins are the same. This auto-correlation pattern is expected if  $^{31}\text{P}$  spins within each region (e.g., core, sub-surface, and surface regions) have distinct isotropic chemical shifts. However, note that  $^{31}\text{P}$  spins with the most negative chemical shifts give rise to significant 2D NMR signal intensity lying off the auto-correlation line. This observation is consistent with assignment of the  $^{31}\text{P}$  with the most negative chemical shifts to surface phosphides. The surface phosphides will be weakly coupled to other surface phosphides because they terminate the particle but should be coupled to sub-surface phosphides with a more positive isotropic chemical shift, resulting in off-diagonal signals in the 2D NMR spectra. By the same logic, core  $^{31}\text{P}$  signals should also give rise to signals away from the auto-correlation line resulting from coupling between core and sub-surface  $^{31}\text{P}$  spins. Indeed, core and sub-surface correlations are visible in some of the DQ-SQ spectra (Figure S6).



**Figure 3.** DNP-enhanced  $^{113}\text{Cd}$  CP-CPMG spectra of (A)  $\text{Cd}(\text{OA})_2$  impregnated with TEKPol TCE, (B) 50Cd-InP MSC, (C) Cd-InP QD and (D)  $\text{Cd}_3\text{P}_2$  QD. The asterisks in (A) denote spinning sidebands. The CPMG individual echoes were coadded to obtain an absorption mode spectrum using the software Nuts. Total experiment times are indicated next to each spectrum.

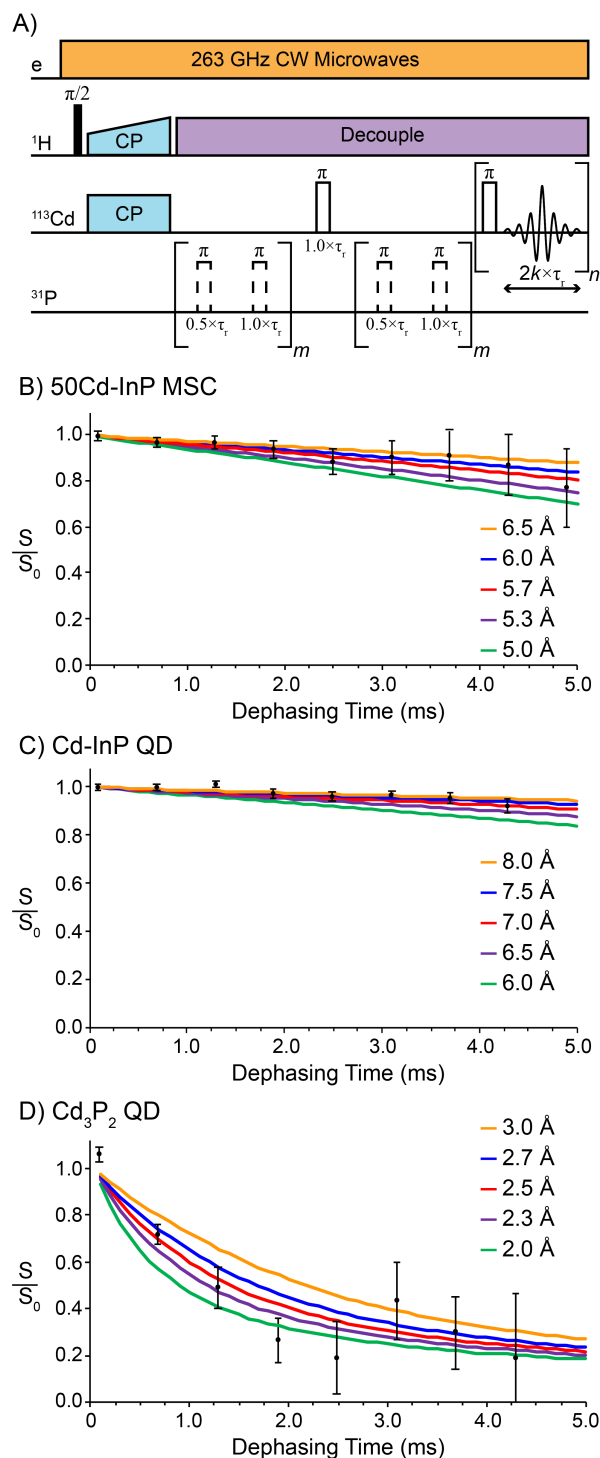
The location of  $\text{Cd}^{2+}$  ions incorporated into the QD and MSC was directly probed with DNP-enhanced  $^1\text{H} \rightarrow ^{113}\text{Cd}$  cross-polarization Carr Purcell Meiboom Gill (CP-CPMG) NMR experiments (Figure 3). We also obtained a DNP-enhanced  $^{113}\text{Cd}$  CP-CPMG spectrum of powdered  $\text{Cd}(\text{OA})_2$  impregnated with TEKPol TCE.  $\text{Cd}(\text{OA})_2$  was the reagent used for the Cd treatment of the InP MSC and InP QD. The  $^{113}\text{Cd}$  solid-state NMR spectrum of impregnated  $\text{Cd}(\text{OA})_2$  shows isotropic  $^{113}\text{Cd}$  chemical shifts of  $-637$ ,  $-671$ ,  $-721$  and  $-726$  ppm, all of which are within the known range of Cd species coordinated by 4 to 6 oxygen atoms (Figure 3 and Figure S7).<sup>37-40</sup> The appearance of multiple peaks likely occurs because some of the  $\text{Cd}(\text{OA})_2$  may

partially dissolve in the TCE TEKPol solution used for impregnation. The  $^{113}\text{Cd}$  CP-CPMG NMR spectra of 50Cd-InP MSC and Cd-InP QD display a broad  $^{113}\text{Cd}$  NMR signal centered at ca.  $-640$  ppm. For 5Cd-InP MSC no  $^{113}\text{Cd}$  CP-CPMG NMR signal was observed, likely due to the lower Cd concentration in the sample. A  $^{113}\text{Cd}$  chemical shift of  $-640$  ppm indicates that the Cd atoms are coordinated by oxygen atoms.<sup>37-40</sup> Therefore, the isotropic  $^{113}\text{Cd}$  chemical shift suggests two probable locations for the  $\text{Cd}^{2+}$  ions: (i) they are bound to both surface phosphate groups and OA ligands or (ii) there are “free”  $\text{Cd}(\text{OA})_2$  species dissolved in the polarizing agent solution or adsorbed on the surface of the MSC/QDs.  $^{113}\text{Cd}\{^{31}\text{P}\}$  rotational-echo double resonance (REDOR) experiments on both 50Cd-InP MSC and Cd-InP QD show slight dephasing, confirming that some of the  $\text{Cd}^{2+}$  ions are within 5 - 7 Å of  $^{31}\text{P}$  spins. Furthermore, a 2D  $^{31}\text{P}\{^{113}\text{Cd}\}$  D-HMQC spectrum of Cd-InP QD verifies that some of the  $^{113}\text{Cd}$  NMR signals arise from Cd ions bound to surface phosphate groups (see below). Therefore, the REDOR experiments and  $^{113}\text{Cd}$  chemical shifts observed in the  $^1\text{H} \rightarrow ^{113}\text{Cd}$  CP-CPMG spectra suggest that the majority of Cd atoms within 50Cd-InP MSC and Cd-InP QD arise from Cd atoms that are coordinated by OA ligands, with some of the Cd atoms additionally coordinated to phosphate groups on the surfaces of the MSC and QD.

The  $^1\text{H} \rightarrow ^{113}\text{Cd}$  CP-CPMG spectrum of 50Cd-InP MSC also shows an additional, weak and broad  $^{113}\text{Cd}$  NMR signal centered at  $-280$  ppm. This  $^{113}\text{Cd}$  chemical shift is similar to that observed for Cd atoms located at the sub-surface/ surface of  $\text{Cd}_3\text{P}_2$  QD that are capped with oleate ligands (Figure 3). This signal is assigned to Cd atoms substituted into the In positions within the MSC and which are coordinated by both phosphide and OA ligands. The low intensity of  $^{113}\text{Cd}$  NMR signals associated with Cd atoms substituted into the In positions within the MSC as compared to the intense signals for oxygen coordinated Cd, suggests that there may be an excess of OA coordinated Cd atoms in 50Cd-InP MSC that are not substituted into the In positions within the

MSC. However, it is also possible that Cd atoms within the MSC may be further from the  $^1\text{H}$  spins of the ligands, resulting in less effective  $^1\text{H} \rightarrow ^{113}\text{Cd}$  CP transfers. This is an important point to keep in mind when considering the  $^1\text{H} \rightarrow ^{113}\text{Cd}$  CP-CPMG NMR spectrum of the Cd-InP QD. Recall, the  $^{31}\text{P}$  chemical shifts of the surface phosphides of Cd-InP QD showed a more negative chemical shift than the InP QD, suggesting some alloying of Cd into the sub-surface phosphide region of the particles. However, these sub-surface sites may be too dilute or too far from surface  $^1\text{H}$  spins such that their  $^1\text{H} \rightarrow ^{113}\text{Cd}$  CP-CPMG NMR signals cannot be directly detected.



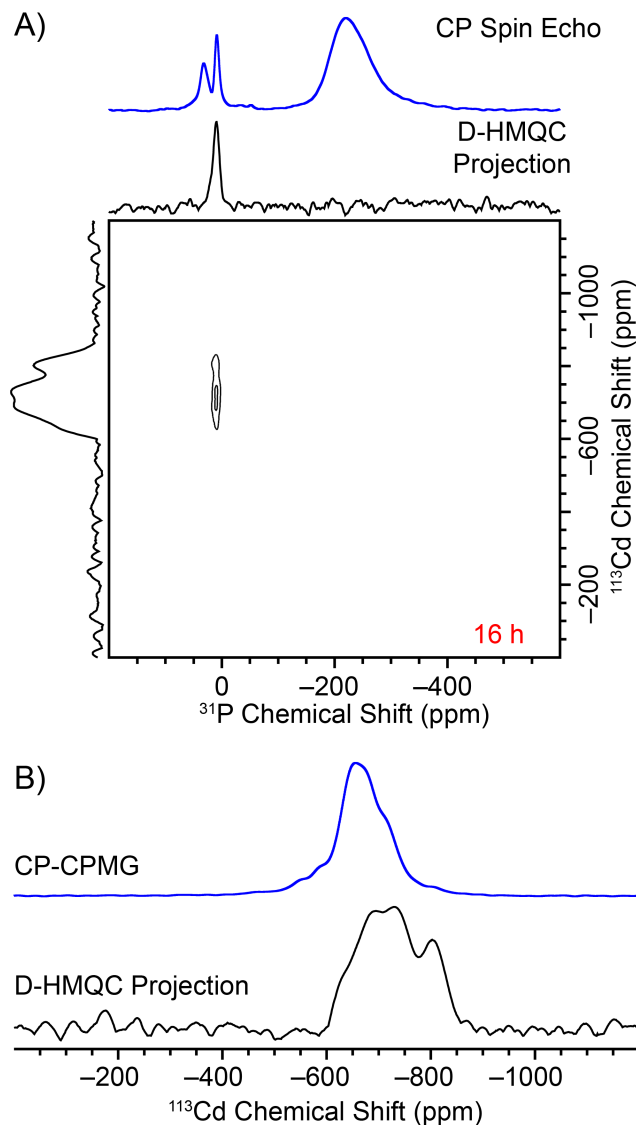


**Figure 4.** (A) DNP-enhanced  $^{113}\text{Cd}\{^{31}\text{P}\}$  REDOR with CPMG detection pulse sequence. (B-D) Experimentally obtained  $^{113}\text{Cd}\{^{31}\text{P}\}$  REDOR curves of (B) 50Cd-InP MSC, (C) Cd-InP QD and (D)  $\text{Cd}_3\text{P}_2$  QD with simulated fits. Simulated REDOR curves are shown for the indicated  $^{113}\text{Cd}$ - $^{31}\text{P}$  inter-nuclear distances.  $^{113}\text{Cd}$ - $^{31}\text{P}$  inter-nuclear distances of 2.0 Å and 8.0 Å correspond to dipolar coupling constants of  $-1356$  Hz and  $-21$  Hz, respectively. Each REDOR curve required between 4.6 hours and 12.6 hours to acquire (see Table S2).

To probe the distance between the Cd and P atoms  $^{113}\text{Cd}\{^{31}\text{P}\}$  rotational-echo double resonance (REDOR) experiments were performed (Figure 4).  $^{31}\text{P}\{^{113}\text{Cd}\}$  REDOR was also attempted and would be preferred since this experiment could directly show whether or not Cd ions are proximate to surface phosphate groups and/or if they are alloyed into the sub-surface and core regions. However, the  $^{113}\text{Cd}$  REDOR recoupling pulses result in partial saturation of the 100% abundant quadrupolar  $^{113/115}\text{In}$  spins. All In atoms have spin-active nuclei ( $\text{NA}(^{113}\text{In}) = 4.3\%$  and  $\text{NA}(^{115}\text{In}) = 95.7\%$ ) and the  $^{115}\text{In}$  and  $^{113}\text{Cd}$  Larmor frequencies only differ by 120 kHz.  $^{31}\text{P}\{^{113}\text{Cd}\}$  REDOR experiments on InP QD that were not treated with Cd showed significant dephasing, confirming that partial saturation of quadrupolar  $^{113/115}\text{In}$  spins is the primary source of dephasing (Figure S8). We also note that  $^{31}\text{P}\{^{113}\text{Cd}\}$  REDOR are hindered by short  $^{31}\text{P}$  refocused transverse relaxation constant ( $T_2'$ ) that are on the order of ca. 1 ms. For all of these reasons, only  $^{113}\text{Cd}\{^{31}\text{P}\}$  REDOR provided useful information. The  $^{113}\text{Cd}\{^{31}\text{P}\}$  REDOR dipolar dephasing curves were fit to analytical Bessel functions that describe the dipolar oscillation between two coupled spins.<sup>41</sup>

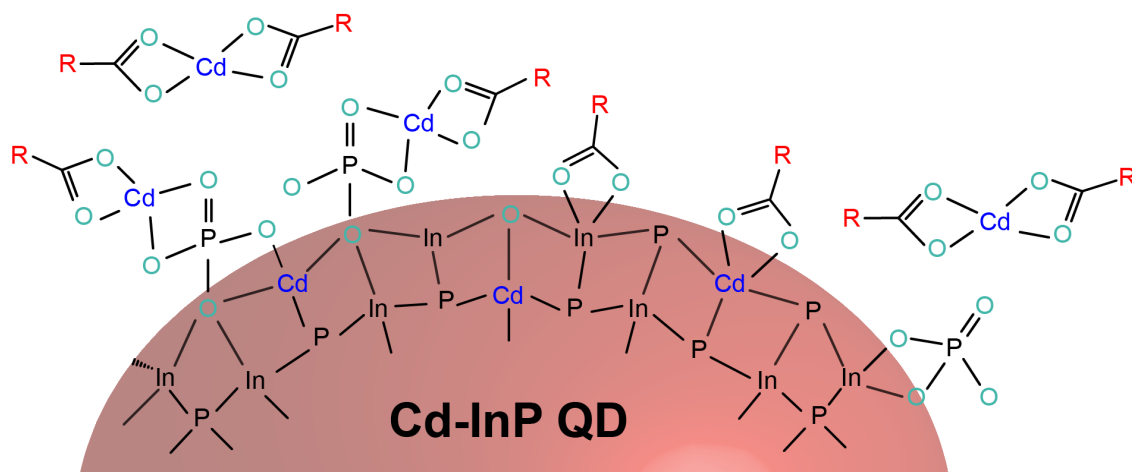
For 50Cd-InP MSC and Cd-InP QD  $^{31}\text{P}$ - $^{113}\text{Cd}$  distances of approximately 5.7 Å and 7.0 Å respectively, were measured by  $^{113}\text{Cd}\{^{31}\text{P}\}$  REDOR. The distance measured between  $^{31}\text{P}$ - $^{113}\text{Cd}$  suggests that there at least two bonds between the Cd and P atoms, i.e, a P-O-Cd bonding arrangement due to binding of a Cd to a surface phosphate groups (Scheme 1). The large measured distances could also reflect the fact that some of the  $\text{Cd}^{2+}$  ions are far from surface phosphates or phosphides, resulting in apparent lengthening of the  $^{113}\text{Cd}$ - $^{31}\text{P}$  internuclear distances. Binding of Cd to some surface phosphate groups is consistent with the observation made from the  $^{31}\text{P}$  CPMAS NMR spectra, that during the post synthetic treatment of the InP QD and MSC, surface phosphates are being formed. If the Cd was truly alloying into the core of the InP QD or MSC the observed

dephasing would be much more rapid as is observed in  $^{113}\text{Cd}\{^{31}\text{P}\}$  REDOR experiment of  $\text{Cd}_3\text{P}_2$  QD (Figure 4). For the  $\text{Cd}_3\text{P}_2$  QD a  $^{113}\text{Cd}$ - $^{31}\text{P}$  inter-nuclear distance of 2.5 Å was estimated from fits of the initial portion of the  $^{113}\text{Cd}\{^{31}\text{P}\}$  REDOR curve. This distance is consistent with the Cd-P bond distance of 2.6 Å measured using single crystal x-ray diffraction.<sup>42</sup>



**Figure 5.** (A) DNP-enhanced  $^{31}\text{P}\{^{113}\text{Cd}\}$  constant time D-HMQC of Cd-InP QD. The spectrum was acquired with a MAS frequency of 10 kHz with REDOR recoupling applied on the  $^{113}\text{Cd}$  channel. The top spectrum is the 1D  $^{31}\text{P}$  CP spin echo of the Cd-InP QD. (B) Comparison of  $^{113}\text{Cd}$  CP-CPMG spectrum and the  $^{113}\text{Cd}$  projection extracted from the indirect dimension of the  $^{31}\text{P}\{^{113}\text{Cd}\}$  D-HMQC spectrum.

In addition to the  $^{113}\text{Cd}\{^{31}\text{P}\}$  REDOR experiments a  $^{31}\text{P}\{^{113}\text{Cd}\}$  constant time dipolar heteronuclear multiple quantum correlation (D-HMQC) was performed on the Cd-InP QD (Figure 5A). For the  $^{31}\text{P}\{^{113}\text{Cd}\}$  D-HMQC a second batch of the Cd-InP QD was used and had a DNP enhancement of ca. 53. The  $^{113}\text{Cd}$  and  $^{31}\text{P}$  solid-state NMR spectrum of the second batch Cd-InP QD are nearly identical to the spectra from the first batch, except the phosphate  $^{31}\text{P}$  NMR signal has a slightly larger relative intensity as compared to the phosphide signals (Figure S9). The 2D  $^{31}\text{P}\{^{113}\text{Cd}\}$  D-HMQC spectrum of Cd-InP QD shows correlations between the phosphate groups and a broad  $^{113}\text{Cd}$  NMR signal centered at ca.  $-700$  ppm and a second, narrower  $^{113}\text{Cd}$  NMR signal at  $-800$  ppm. Phosphide  $^{31}\text{P}$  NMR signals are likely absent from the 2D D-HMQC spectrum because of their shorter  $T_2'$ . Comparison of the  $^{113}\text{Cd}$  NMR spectrum obtained from the D-HMQC spectrum and the directly detected  $^{113}\text{Cd}$  CP-CPMG NMR spectrum shows that there are some visible differences in  $^{113}\text{Cd}$  chemical shifts and peak intensities. The  $^{113}\text{Cd}$  NMR signal at  $-800$  ppm has a very low intensity in the  $^{113}\text{Cd}$  CP-CPMG spectrum, suggesting that it corresponds to Cd ions that are distant from  $^1\text{H}$  spins and/or dilute, but, which must be associated with phosphate groups; these Cd ions could be coordinated by phosphate and/or surface oxide anions. The higher-frequency  $^{113}\text{Cd}$  NMR signals centered at  $-650$  ppm observed in the CP-CPMG spectrum have greatly reduced intensity in D-HMQC spectrum. Therefore, these signals are assigned to Cd ions that are coordinated by oleate ligands, but which are distant from phosphate groups. It is known that cadmium dicarboxylate fragments often act as Z-type ligands, which can reversibly associate with the surface of nanoparticles.<sup>43-45</sup> The remaining  $^{113}\text{Cd}$  NMR signals centered at  $-700$  ppm could then arise from Cd ions that are coordinate to both oleate ligands and surface phosphate groups.



**Scheme 1.** Proposed model of the surface of a Cd-InP QD depicting the possible location of Cd ions and different surface species.

## Conclusions

Through examination of a series of InP MSC with various amounts of Cd incorporation, InP QD and Cd<sub>3</sub>P<sub>2</sub> QD it was possible to determine the location of Cd atoms in a post-synthetically treated Cd-InP QD using DNP-enhanced <sup>31</sup>P and <sup>113</sup>Cd solid-state NMR experiments. Scheme 1 summarizes the findings of this study. DNP-enhanced <sup>113</sup>Cd CP-CPMG NMR spectra, <sup>113</sup>Cd{<sup>31</sup>P} REDOR and <sup>31</sup>P{<sup>113</sup>Cd} D-HMQC confirm that most Cd atoms are coordinated to organic oxygen bearing ligands, either oleate ligands and/or phosphate groups. The <sup>31</sup>P{<sup>113</sup>Cd} D-HMQC and <sup>113</sup>Cd{<sup>31</sup>P} REDOR experiments suggest that only a subset of Cd ions are bound to surface phosphate groups. However, while <sup>113</sup>Cd NMR experiments could not provide direct evidence for alloying of Cd into the phosphide regions, comparison of <sup>31</sup>P chemical shifts of the QD and MSC samples indirectly suggested some alloying of Cd occurred. The structural model put forwards on the basis of the sold-state NMR experiments is consistent with previous characterization of Cd-InP QDs by cadmium EXAFS which demonstrated Cd-O and Cd-P coordination.<sup>10</sup> More generally

this study highlights the utility of DNP SENS for the characterization of QDs and other nanomaterials.<sup>19-29, 46-52</sup>

## Experimental

*General Considerations.* All glassware was dried in a 160 °C oven overnight prior to use. All reactions, unless otherwise noted, were performed under an inert atmosphere of nitrogen using a glovebox or using standard Schlenk techniques. Indium acetate (99.99%), anhydrous oleic acid ( $\geq 99\%$ ), and anhydrous acetonitrile were purchased from MilliporeSigma and used without further purification. Toluene purchased from MilliporeSigma was collected from a solvent purification system and stored over activated 3 Å molecular sieves in a glovebox. 1-Octadecene (1-ODE, 90%) was purchased from MilliporeSigma, dried over  $\text{CaH}_2$ , distilled, and stored over activated 3 Å molecular sieves in a nitrogen atmosphere glovebox. Dimethyl cadmium (97%) was purchased from Strem Chemicals and stored in a  $-35$  °C freezer in a nitrogen-filled glovebox. Tris(trimethylsilyl)phosphine ( $\text{P}(\text{SiMe}_3)_3$ ) was prepared by modifying a literature procedure in which sodium naphthalene was used in place of Na/K alloy.<sup>53</sup> Cadmium oleate was prepared following literature procedure.<sup>10</sup> Bio-Beads S-X1 for gel permeation chromatography were purchased from Bio-Rad Laboratories and dried under vacuum at elevated temperature before being stored in a glovebox. Omni Trace nitric acid was purchased from MilliporeSigma and used without further purification. 18 M $\Omega$  water was collected from MilliporeSigma water purification system. Inductively coupled plasma optical emission spectroscopy (ICP OES) was performed using a PerkinElmer Optima 8300.

*Synthesis of InP MSCs.* Oleate-capped InP MSCs were synthesized using an adapted procedure published by Gary et al.<sup>54</sup> Briefly, indium acetate (937 mg, 3.2 mmol) and oleic acid (3.28 g, 11.6 mmol) were stirred overnight at 110 °C under reduced pressure. Anhydrous toluene (20 mL) was

added to the reaction flask at room temperature to dissolve indium oleate followed by  $\text{P}(\text{SiMe}_3)_3$  (465  $\mu\text{L}$ , 1.6 mmol) suspended in 10 mL anhydrous toluene, at 110 °C. Cluster growth is typically complete within 20-40 min. Purification was done in the  $\text{N}_2$  filled glovebox by repeated precipitation/redissolution cycles using toluene and acetonitrile as solvent and anti-solvent, respectively. Purified material was stored as a solid in a  $\text{N}_2$  filled glovebox.

*Cadmium treatment of InP MSCs.* Following a published procedure,<sup>14</sup> Cd-InP MSC samples were prepared by first dissolving 0.187 g (0.0097 mmol) of oleate-capped InP MSCs in 2 mL of toluene in a 20 mL scintillation vial containing a stir bar. To this solution was added 0.329 g (0.487 mmol, 50 equivalents of Cd relative to In in the cluster) of cadmium oleate dissolved in 5 mL of toluene. The solution was stirred for 20 hours at room temperature and then concentrated to 4 mL. Acetonitrile (5 mL) was added and the suspension was centrifuged (7000 rpm for 10 mins). The solid precipitate was redissolved in toluene and purified twice using gel permeation chromatography in the glovebox following literature procedures.<sup>55-56</sup> The procedure outlined above was repeated a second time with 5 equivalents of Cd for each In. The length of reaction was between 20-72 hours.

*Synthesis of InP QDs.* InP QDs were synthesized following published methods.<sup>10, 57</sup> Briefly, a stock solution of InP QDs was synthesized starting from indium acetate (1.17 g, 4 mmol) and oleic acid (4.10 g, 14.5 mmol) in 1-octadecene (4 g) degassed at 100°C overnight. Upon heating the reaction flask to 315°C in  $\text{N}_2$  flow,  $\text{P}(\text{SiMe}_3)_3$  (2 mmol) suspended in 1-octadecene (4 g) was injected. Alternatively, oleate-capped InP MSCs (234 mg, 12 mmol) were dissolved in anhydrous 1-octadecene (5 mL) and injected into a flask containing 35 mL of 1-octadecene at 300 °C. The reaction is typically complete in 15-20 min. To start purification, 1-octadecene was removed through vacuum distillation at elevated temperature (~160 °C) and taken into a  $\text{N}_2$  filled glovebox

followed by repeated precipitation/redissolution cycles using toluene and acetonitrile as solvent and anti-solvent, respectively. For further purification, gel permeation chromatography was used in the glovebox following literature procedures.<sup>55-56</sup> Purified material was stored as a stock solution in toluene in a N<sub>2</sub> filled glovebox. Quantum dot solids were isolated by removing solvent under reduced pressure with a glovebox-attached cold trap.

*Cadmium treatment of InP QDs.* Cd-InP QD sample was prepared using a published procedure.<sup>10</sup> Cadmium oleate (270 mg, 0.4 mmol, 2 equivalents to mmol In<sup>3+</sup> estimated to be present in the QD stock) was dissolved in anhydrous dodecane (5 mL) and injected into the reaction flask containing InP QDs suspended in 5 mL of dodecane. The use of dodecane here was for ease of purification by vacuum distillation. The solution was heated to 200 °C, and the reaction was halted by removing the heating mantle at 90 min when no further changes in the absorbance or PL features were observed. The resulting QDs were purified following the same purification steps as InP QDs.

*Synthesis of Cd<sub>3</sub>P<sub>2</sub> QDs. Warning! Dimethyl cadmium is a volatile and extremely toxic reactant and was handled with care within a N<sub>2</sub> filled glovebox. Both dimethyl cadmium and P(SiMe<sub>3</sub>)<sub>3</sub> are pyrophoric, extremely reactive, and should be handled with caution.* Cd<sub>3</sub>P<sub>2</sub> QDs were synthesized following published methods.<sup>27, 58</sup> Briefly, cadmium oleate (0.55 g, 0.8 mmol), oleic acid (0.5 mL, 1.58 mmol), and 1-octadecene (10 mL) were combined and heated to 150 °C with stirring. P(SiMe<sub>3</sub>)<sub>3</sub> (60 µL, 0.2 mmol) in 1 mL of 1-octadecene was rapidly injected into the flask. After 3 min, the solution was cooled by placing the flask in an oil bath. The resulting QDs were purified following the same purification steps as InP QDs.

*Structural Characterization of QDs and Measurement of Particle Diameters.* InP, Cd-InP and Cd<sub>3</sub>P<sub>2</sub> QD were characterized by UV-Vis absorption and emission spectroscopy, TEM imaging



and powder X-ray diffraction (Figures S10-S12). Average particle diameters determined by analysis of TEM images were  $3.1 \text{ nm} \pm 0.3 \text{ nm}$ ,  $2.7 \text{ nm} \pm 0.3 \text{ nm}$ , and  $2.2 \text{ nm} \pm 0.3 \text{ nm}$  for InP, Cd-InP, and Cd<sub>3</sub>P<sub>2</sub> QD, respectively.

*Solid-State NMR Experiments.* TCE (Sigma-Aldrich),<sup>59</sup> *h*-BN (Sigma-Aldrich) and TEKPol<sup>60</sup> (Cortecnet) were used without further purification. Samples were prepared for DNP experiments in two different ways. In both cases, *h*-BN was used as a material to disperse the QD or MSC and keep them mixed with the radical polarizing agent.<sup>24, 27</sup> Samples were prepared by impregnating 30 mg of *h*-BN with approximately 20  $\mu\text{L}$  of the colloidal QD/MSC solution and 10  $\mu\text{L}$  of a 40 mM TEKPol TCE solution, then transferring the impregnated *h*-BN to a sapphire rotor. This composition gives a final TEKPol concentration of approximately 16 mM. Other samples were prepared by evaporating the colloidal solution to obtain precipitated QD. A 1:1 mass of the precipitated QD and *h*-BN powders were mixed, then the powdered mixture was impregnated with a small volume (10-20  $\mu\text{L}$ ) of 16 mM TEKPol solution.<sup>27</sup> Figure captions indicate which sample preparation method was used for each spectrum. Unless noted, all InP MSC and QD and the Cd<sub>3</sub>P<sub>2</sub> samples were handled in a glovebox to prevent oxidation.

All DNP solid-state NMR experiments were performed on a Bruker 9.4 T 400 MHz/263 GHz solid-state NMR/gyrotron equipped with an AVANCE III console.<sup>17</sup> The main magnetic field was set on a standard sample of TEKPol TCE solution so that microwave irradiation gave a maximum positive DNP enhancement. A Bruker 3.2 mm triple resonance HXY probe was used and configured to <sup>1</sup>H-<sup>31</sup>P-<sup>113</sup>Cd mode. Samples were packed into 3.2 mm sapphire rotors and capped with teflon inserts (for samples prepared in air) or silica plugs (for samples prepared under inert conditions). Zirconia drive caps were used in all cases. All samples were spun at 10 kHz and the sample temperature was approximately 110 K under microwave irradiation. All DNP-enhanced

NMR spectra were indirectly referenced using previously published relative NMR frequencies.<sup>61</sup> The CP-spin echo, CP-TOSS,<sup>62</sup> homonuclear dipolar DQ-SQ with post C7 recoupling,<sup>36</sup> CP-CPMG<sup>63</sup> REDOR<sup>64</sup> and constant time<sup>65</sup> CP-D-HMQC<sup>27, 66</sup> were performed using previously published pulse sequences. During the acquisition of all experiments <sup>1</sup>H high power decoupling was performed with the SPINAL-64 scheme<sup>67</sup> and the <sup>1</sup>H radiofrequency (rf) field was 100 kHz. All key solid-state NMR experimental parameters are located in Table S2.

<sup>31</sup>P pulses were directly calibrated on an air exposed Cd-InP QD sample. The <sup>31</sup>P CP match condition used a <sup>1</sup>H and <sup>31</sup>P spinlock rf of 40 kHz and 50 kHz respectively. The CP spinlock duration was between 2 ms and 9 ms and was experimentally optimized on each sample to maximize the phosphide signal. The conditions used for each experiment is noted in Table S2. The <sup>31</sup>P  $\pi$  pulse used for the CPMAS spin echo experiments was 7  $\mu$ s (72 kHz rf field). <sup>113</sup>Cd pulses were calibrated on the Cd(OA)<sub>2</sub> precursor that was used to treat the surface of the InP QD and MSC samples. The <sup>113</sup>Cd CP match condition used <sup>1</sup>H and <sup>113</sup>Cd spinlock rf fields of 63-77 kHz and 64-71 kHz respectively and the CP spinlock duration was optimized on each sample and is noted in Table S2. The <sup>113</sup>Cd  $\pi$  pulse rf used was 64-77 kHz for all experiments. The REDOR recoupling pulses were phase-cycled with XY-8 scheme to compensate for pulse imperfections and offsets. In the <sup>113</sup>Cd{<sup>31</sup>P} REDOR experiments the <sup>31</sup>P REDOR recoupling  $\pi$  pulse rf field was 72 kHz and in the <sup>31</sup>P{<sup>113</sup>Cd} REDOR experiments the <sup>113</sup>Cd REDOR recoupling  $\pi$  pulse rf field was 60 kHz. Finally for the <sup>31</sup>P{<sup>113</sup>Cd} constant time D-HMQC the indirect dimension was set wide enough to obtain the entire <sup>113</sup>Cd spectrum (250 kHz) and the REDOR recoupling was applied on the <sup>113</sup>Cd channel where the <sup>113</sup>Cd rf was 77 kHz.

### **Associated Content**

Experimental procedures, tables and additional figures can be found in the SI.

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